

Docket No.: 1599-0284PUS1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hyun-Ik SHIN et al.

Confirmation No.: N/A Application No.: Not Yet Assigned

Filed: June 24, 2005

Art Unit: N/A

NOVEL PROCESS FOR PREPARING A 5-For:

HYDROXY-3-OXO-HEXANOIC ACID

DERIVATIVE

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

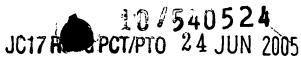
Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Copies of the references on the PTO/SB/08 are not provided.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this



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Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: June 24, 2005

Respectfully submitted,

James T. Eller, Jr.

Registration No.: 39,538

BIRCH, STEWART, KOLASCH & BIRCH, LLP

8110 Gatehouse Rd

Suite 100 East

P.O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000

Attorney for Applicant





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Complete if Known Substitute for form 1449A/B/PTO Application Number Not Yet Assigned INFORMATION DISCLOSURE June 24, 2005 Filing Date STATEMENT BY APPLICANT First Named Inventor Hyun-Ik SHIN Art Unit N/A (Use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 1599-0284PUS1 1 of 1 Attorney Docket Number

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
		Number-Kind Code ² (if known)			Relevant Passages or Relevant Figures Appear		
	AA	US-5,594,153-A	01-14-1997	E. R. Squibb & Sons Inc.			
	AB	US-6,340,767-B	01-22-2002	Kaneka Corporation			
	AC	US-6,399,339-B	06-04-2002	Forschungszentrum Julich GmbH			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	BA	EP-1024139-A	10-02-2000			ISR	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Initials No 1 magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		SCHEFFLER et al., "Preparation and stereoselective hydrogenation of chiral (4-hydroxytetrafuranylidene)carboxylates: a new formal entry to functional anti- and syn-3, 5-dihydroxy esters" Tetrahedron Letters (2002), 43(15), 2679-2682.	ISR		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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